Page 1 of 3



O 1 89807404

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Parent and Trademark Office Address COMMISSIONER FOR PATRINTS RO, Bar 1430 Alassandra, Vicinia 27313-1450 www.unpto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/809,930

03/26/2004

Diane E. Sutter

07767-201502

CONFIRMATION NO. 6432

Date Mailed: 06/07/2004

FORMALITIES LETTER

OC000000012884975

26694 VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP P.O. BOX 34385 WASHINGTON, DC 20043-9998

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/08/2004 NROCHA1 00000092 021666

10809930

FILED UNDER 37 CFR 1.53(b)

01 FC:1001

770.00 DA

Filing Date Granted

02 FC:1202 03 FC:1051

540.00 DA 130.00 DA

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The path or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or cath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The following item(s) appear to have been omitted from the application:

- Figure(s) 3B described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.

Page 2 of 3

Applicant is advised that generally the filing fee required for an application is the filing fee in effect on the filing date accorded the application and that payment of the requisite basic filing fee on a date later than the filing date of the application requires payment of a surcharge (37 CFR 1.16(e)). To avoid processing delays and payment of a surcharge, applicant should submit any balance due for the requisite filing fee based on the later filing date being requested when submitting the omitted items(s) and the petition (and petition fee) requesting the later filing date.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabeled drawing figures, is required. A copy of the drawing figures showing the proposed changes in red ink should accompany with any drawing changes. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment

Additional claim fees of \$540 as a non-small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1440 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$540
 - \$540 for 30 total claims over 20.

Replies should be mailed to: Mall Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Page 3 of 3

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

	To Notice To File ng Date Granted (111	cket No. -6029
In Re Application	Of: Diane E. Sutter	et al.				
Application No.	Filing Date	Exam	iner	Customer No.	Group Art Unit	Confirmation No.
10/809,930	March 26, 2004	To be ass	igned	26253	To be assigned	6432
Invention: Use o	f Benzyl Alcohol, And	Other Phenolic	Preservatives	To Reduce Pain	During Intraders	mal
Injection ·						
						OIPE
		THE COMMI	SSIONER FO	R PATENTS:		50,7
		Mail St	op Missing Pa	<u>rts</u>		SEP 0 7 2004 1
□ Completion	of application fees a	s calculated be	ow:	•	:	
□ Utility a	application filing fee					S770.00
	application filing fee					
	гаррисацогі інігід гее					
	umber of independer	nt claims =	3			
⊠ Total n	umber of claims =	50				\$540.00
☐ Multipl	e dependent claims			•	•	
⊠ Surcha	arge for late payment	of filing fee and	l/or late filing o	of original declar	ation or eath	\$130.00
Petition and fee for filing by other than all the inventors or a person not the inventor						
☐ Fee for processing an application filed with a non-English language specification						
☐ Fee fo	r processing and rete	ntion of applica	tion			
			Tota	al completion of	application fees	\$1,440.00
above-identified N	t under the provision otice to File Missing ditional time extension	Parts of Applic	ation. The re	quested extensi	ion is as follows (
⊠ One mo	nth 🗆 Two m	onths 🗆	Three month	s 🗆 Four	months 🗆	Five months
from:	August 7, 200	94	until:	Septen	nber 7, 2004 Date	
	•			Total tim	e extension fees	\$110.00
					Total fees due	\$1,550.00
L						



Robert E. West Patent Agent One Becton Drive, MC 089 Franklin Lakes, New Jersey 07417-1880 tel: 201.847.6782 fax:201.847.5377 www.bd.com



Fax

Attention:

Missing Parts

To:

United States Patent and Trademark Office

Fax number:

(703) 746-4060

From:

Robert E. West

Date:

September 7, 2004

Number of Pages (including this cover):

17

If you do not receive all of the pages, please call: Lorraine Kowalchuk 201-847-6455

Applicant(s):	Diane E. Sutter et al.	Atty. Docket No.:	P-6029		
Serial No.:	10/809,930	Group Art Unit:	To be assigned		
Filed:	March 26, 2004	Examiner:	To be assigned		
For:	Use of Benzyl Alcohol, And Other Phenolic Preservatives To Reduce Pain During Intradermal Injection				

The following documents are attached to this facsimile:

- Copy of Notice to File Missing Parts of Provisional Application mailed on June 7, 2004
- Response To Notice To File Missing Parties of Application mailed on June 7, 2004, including Petition For Extension of Time Under 37 CFR 1.136(a)
- Fully executed Declaration and Power of Attorney (4 pages)
- 4. Power of Attorney By Assignee Of Entire Interest and Change of Correspondence Address

Confidential

The pages accompanying this transmission contain or may contain information from BD (Becton, Dickinson and Company) which is confidential, proprietary and/or legally privileged. The information is intended only for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying distribution or taking of any action in reliance on the contents of this transmission is strictly prohibited, and the pages received by you are to be destroyed immediately. In this regard, please notify the sender of this transmission that you have received the transmission in error and have destroyed the pages sent.

If you do not receive all of the pages, please call as soon as possible, via direct fax line indicated above or by telephone to the sender indicated above.

Becton, Dickinson and Company

	Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity) Docket No. P-6029				
In Re Applica	tion Of. Diane E. Sutter	et al.			
Application N	o. Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/809,930	March 26, 2004	To be assigned	26253	To be assigned	6432
Invention: T	Se of Benzyl Alcohol, And	d Other Phenolic Preservatives	To Reduce Pain	During Intrader	nal OIPE
Injection				1	
					SEP 0 7 2004
		Mail Stop Missing Pa	<u>rts</u>		RADEMARKO
		COMMISSIONER FOR PA	TENTS:		DEMBL
This is a resp	onse to the Notice to File	Missing Parts of Application -	Filing Date Gra	nted (PTO-1533)	mailed on
June 7, 2	<u>004 </u>				
Enclosed here	with for filing are the following	owing:			
⊠ A copy	of the Notice to File Miss	sing Parts of Application - Filing	g Date Granted	(PTO-1533). (RE	EQUIRED)
		pliance with 37 CFR 1.63, inc	- cluding residenc	e information an	d identifying the
applica	tion by the above Applica	ation Number and Filing Date.			
⊠ A propa	erly signed oath or declar	ration in compliance with 37 C	FR 1.63.		
		liance with 37 CFR 1.63 listings application by the above A			
		the non-English language app the copy for examination purp			
Other (iist):				
004 NROCHA1 0000 251 110.00					
		···		· · · · · · · · · · · · · · · · · · ·	

Response To Notice To File Missing Parts Of Appli Filing Date Granted (PTO-1533) (Large Entity	Docket No. P-6029				
In Re Application Of: Diane E. Sutter et al.					
Application No. Filing Date Examiner	Customer No.	Group Art Unit	Confirmation No.		
10/809,930 March 26, 2004 To be assigned	26253	To be assigned	6432		
Invention: Use of Benzyl Alcohol, And Other Phenolic Preservative	s To Reduce Pain	During Intrader	mal		
Injection			÷		
TO THE COMMISSIONER FO	OR PATENTS:		OIPE		
Mail Stop Missing P			(0)		
		PATTE	SEP 0 7 2004 1		
		E CONTRACTOR OF THE CONTRACTOR			
The fee of \$1,550,00 is to be reid as follows:					
The fee of \$1,550.00 is to be paid as follows: A check in the amount of the fee is enclosed.					
The Director is hereby authorized to charge any fees which r	nay be required, o	or credit any over	payment, to		
Deposit Account No. 02-1666					
If an additional extension of time is required, please consider any additional fees which may be required to Deposit Account		erefor and charge	2		
☐ Payment by credit card. Form PTO-2038 is attached.					
WARNING: Information on this form may become public			not be		
included on this form. Provide credit card information a	id authorization	оп РТО-2038.			
Franklin Lakes, NJ 07417-1880 BEING TO (703) Customer Number: 26253 BY: LOS	Y CERTIFY THAT RANSMITTED VI 746-4060 ON: S RAINE T. KOWAL T. KOWALEKUW	A FACSIMILE T LOTEMBED 7,2 LCHUK K	RANSMISSION		